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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Kevin FOLEY et al.
Serial No. : 09/412,408 Group Art Unit: 3621
Filed : October 5, 1999 Examiner: HEWITT, C. L. II
Title : ELECTRONIC TRADING SYSTEM SUPPORTING ANONYMOUS
NEGOTIATING AND INDICATORS OF INTEREST

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Commissioner of Patents
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INFORMATION DISCLOSURE STATEMENT

Enclosed are an Information Disclosure Citation List and a copy of the document listed therein. Also enclosed is a check in the amount \$180.00 (37 C.F.R. § 1.17(p)).

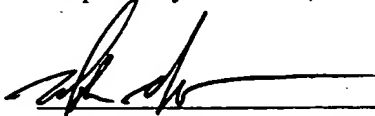
The substance of the cited reference was referred to during the interview by the Examiner herein with attorneys for applicant on 20 June 2002.

The Examiner is requested to consider the enclosed document, make it of record, and indicate his consideration of the document by initialing the enclosed Citation List adjacent the citation of the document.

Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Respectfully submitted,

Dated: 26 June 2002


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		Application Number	09/412,408
		Filing Date	October 5, 1999
		First Named Inventor	Kevin FOLEY
		Art Unit	3600
		Examiner Name	Calvin L. Hewitt II
Sheet 1	of 1	Attorney Docket Number	336001-2026

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/C.A./		Sam JOHNSON/goldman, sachs & co., FIXProtocol, "FIX 4.1 Specification Draft is available" January 12, 1998 http://www.fixprotocol.org/discuss/read/33ed5258	
/C.A./		Peter CHAPMAN, TRADERS magazine.com, "Order out of Chaos on Buy-Side Desks: Proliferating Order Management Systems" September 1999 http://tradersmagazine.com/issues/19990930/2	
/C.A./		"J.P. Morgan. PricewaterhouseCoopers propose FpML, a new e-commerce standard" June 9, 1999 http://xml.coverpages.org/fpml-press9906.html	
/C.A./		Emmerich W. et al., :Markup meets middleware", DISTRIBUTING COMPUTING SYSTEMS, Proceedings. 7th IEEE Workshop on Future Trends of Capetown, S. Africa Dec.20-22, 1999.	

Examiner Signature	/Charles Agwumezie/	Date Considered	08/29/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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